

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6  
Scales  
11-26-99

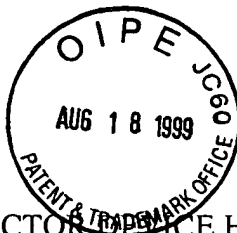
In re the Application of: Akito KURAMATA, et al.

**FILE COPY**

Serial Number: 09/313,764

Group Art Unit: 2812

Filed: May 18, 1999



Attention: Appln Processing Division,  
Customer Correction Branch

For: OPTICAL SEMICONDUCTOR DEVICE HAVING AN EPITAXIAL LAYER OF III-V  
COMPOUND SEMICONDUCTOR MATERIAL CONTAINING N AS A GROUP V  
ELEMENT

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Date: August 18, 1999

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the second inventor's name has been misspelled ("SHINNICHI" should be -SHINICHI-) and the information on the foreign applications is missing. Please add the following:

FOREIGN APPLICATIONS- JAPAN 10-353241 12/11/98  
10-135425 05/18/98

A copy of the Declaration is enclosed which indicates the correct spelling and the information.

We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,  
ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON

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for Donald W. Hanson  
Attorney for Applicants  
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Atty. Docket No. 990527  
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Washington, DC 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357  
DWH/cs  
Enclosures: Copies of Filing Receipt & Declaration

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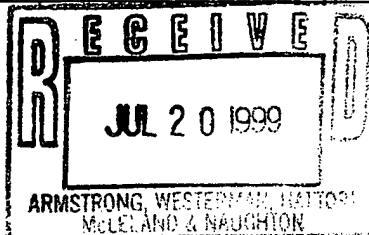
FILING RECEIPT  
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/313,764	05/18/99	2812	\$1,352.00	990527	20	24	8

ARMSTRONG WESTERMAN HATTORI  
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WASHINGTON DC 20006



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) AKITO KURAMATA, KAWASAKI-SHI, JAPAN; SHINNICHI KUBOTA,  
KAWASAKI-SHI, JAPAN; KAZUHIKO HORINO, KAWASAKI-SHI, JAPAN;  
REIKO SOEJIMA, KAWASAKI-SHI, JAPAN.

FOREIGN APPLICATIONS- JAPAN 10-353241 12/11/98  
10-135425 05/18/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/07/99  
TITLE

OPTICAL SEMICONDUCTOR DEVICE HAVING AN EPITAXIAL LAYER OF III-V  
COMPOUND SEMICONDUCTOR MATERIAL CONTAINING N AS A GROUP V ELEMENT

PRELIMINARY CLASS: 438

DATA ENTRY BY: MIDDLETON, MATTIE TEAM: 04 DATE: 07/14/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

(See reverse for new important information)